



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/608,103	06/30/2000	Christopher L. Hamlin	K35A0631

Milad G Shara Esq
Western Digital Corporation
8105 Irvine Center Drive
Plaza 3
Irvine, CA 92618

FORMALITIES LETTER

OC000000005440248

Date Mailed: 09/29/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/18/2000 CCHAU1 00000090 231209 09608103
01 FC:105 130.00 CH



03C0 #

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/608,103
	Filing Date	06-30-00
	First Named Inventor	CHRISTOPHER L. HAMLIN
	Group Art Unit	2766
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	K35A0631

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration Utility Application
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Bibliographic Form
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	Notice To File Missing Parts Postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	WESTERN DIGITAL CORPORATION - Milad G. Shara, 39,367
Signature	
Date	10/12/00

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/12/00			
Typed or printed name	Rita Kimmel		
Signature		Date	10/12/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Complete if Known

Application Number	09/608,103
Filing Date	06-30-00
First Named Inventor	CHRISTOPHER L. HAMLIN
Group Art Unit	2766
Examiner Name	UNKNOWN
Attorney Docket Number	K35A0631

TOTAL AMOUNT OF PAYMENT	(\$)	130.00
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FEE CALCULATION (continued)

- ### 3. ADDITIONAL FEES

WESTERN DIGITAL CORPORATION

2. ☐ Payment Enclosed: ☐ Check ☐ Money Order ☐ Other

	Large Entity	Small Entity
1. Revenue	More than \$5 million	Less than \$5 million
2. Assets	More than \$5 million	Less than \$5 million
3. Employees	More than 50	Less than 50
4. Debt	More than \$1 million	Less than \$1 million
5. Complexity	High	Low
6. Industry	Highly competitive	Less competitive
7. Geography	Multiple locations	Single location
8. Regulation	Highly regulated	Less regulated
9. Ownership	Publicly traded	Privately held
10. History	Long history	Short history
11. Size	Large	Small
12. Complexity	High	Low
13. Industry	Highly competitive	Less competitive
14. Geography	Multiple locations	Single location
15. Regulation	Highly regulated	Less regulated
16. Ownership	Publicly traded	Privately held
17. History	Long history	Short history
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89. Complexity	High	Low
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91. Geography	Multiple locations	Single location
92. Regulation	Highly regulated	Less regulated
93. Ownership	Publicly traded	Privately held
94. History	Long history	Short history
95. Size	Large	Small
96. Complexity	High	Low
97. Industry	Highly competitive	Less competitive
98. Geography	Multiple locations	Single location
99. Regulation	Highly regulated	Less regulated
100. Ownership	Publicly traded	Privately held
101. History	Long history	Short history
102. Size	Large	Small
103. Complexity	High	Low
104. Industry	Highly competitive	Less competitive
105. Geography	Multiple locations	Single location

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	660	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)	(\$)
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Extra		Fee from below		Fee Paid
	X		=	
	X		=	
	X		=	

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)	0.00
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* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$)	130.00
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Complete (if applicable)

Req. Number	39,367
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Date _____

Deposit Account	
User ID	

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